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PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2006 Applicant claims small entity status. See 37 CFR 1.27 | | | Complete if Known | | | |
|--|-------------|--|----------------------|---|--|--|
| | | | Application Number | 10/725,165 December 1, 2003 Loc Quang Duong Thomas R. Hannon | | |
| | | | Filing Date | | | |
| | | | First Named Inventor | | | |
| | | | Examiner Name | | | |
| | | | Art Unit | 3682 | | |
| TOTAL AMOUNT OF PAYMENT | (\$) 100.00 | | Attorney Docket No. | EH-10832 (02-822) | | |

| Applicant claims small entity status. See 37 CFR 1.27 | | | - Ex | Examiner Name Th | | Thomas R. Hannon | |
|---|--|------------------------|-------------------|--------------------------|----------|------------------|----------------|
| Applicant claims small entit | | | Ar | Art Unit 36 | | 3682 | |
| TOTAL AMOUNT OF PAYMEN | 1ENT (\$) 100.00 | | At | Attorney Docket No. EH-1 | | 10832 (02-822) | |
| METHOD OF PAYMENT (c | METHOD OF PAYMENT (check all that apply) | | | | | | |
| Check Credit Card Money Order None Other (please identify): | | | | | | | |
| Deposit Account Deposit Account Number: 21-0279 Deposit Account Name: | | | | | | | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | | | | | | | |
| Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee | | | | | | | |
| Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | |
| FEE CALCULATION | | | | | | | |
| | ILING FI <u>Sn</u> | EES SEA nall Entity | ARCH <u>Si</u> | FEES mail Entity | 9 | TION FEES | Face Bold (A) |
| | | Fee (\$) Fee | | Fee (\$) | Fee (\$) | Fee (\$) | Fees Paid (\$) |
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| 2 13.8 | 200 | 100 100 | | 50 | 130 | 65 | |
| | 200 | | 0 , | 150 | 160 | 80 | |
| | 300 | 150 500 | 0 | 250 | 600 | 300 | |
| Provisional 2 | 200 | 100 | 0 | 0 | 0 | 0 | |
| 2. EXCESS CLAIM FEES Fee Description Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues) Multiple dependent claims Small Entity Fee (\$) 50 25 200 100 180 | | | | | | | |
| | | | | | | Multiple De | pendent Claims |
| - 20 or HP = | | | 100 | <u> </u> | | <u>Fee (\$)</u> | Fee Paid (\$) |
| HP = highest number of total clain Indep. Claims Ex - 3 or HP = | ns paid for, tra Claims | | ee Pai | <u>d (\$)</u> | | | |
| HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof - 100 = | | | | | | | |
| 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Fees Paid (\$) | | | | | | | |
| Other (e.g., late filing surcharge): Assignment Recordal Fee | | | | | | | |
| SUBMITTED BY | | | | | | | |

| SUBMITTED BY | | | |
|-------------------|------------------|---|------------------------|
| Signature | 7 Juny | Registration No. (Attorney/Agent) 37,238 | Telephone 203-777-6628 |
| Name (Print/Type) | William B. Slate | | Date March 1, 2007 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No.:

10/725,165

Att'y Docket:

EH-10832 (02-822)

Filing Date:

December 1, 2003

Conf No.:

2068

Inventor(s):

Loc Quang Duong

Group Art Unit:

3682

Assignee:

United Technologies Corporation

Examiner:

Thomas R. Hannon

Title:

BEARING MATERIAL

Correspondence Address: Customer Number 34704

AMENDMENT UNDER 37 C.F.R. 1.111

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Introductory Comments

In response to the Office action mailed December 1, 2006, for which a response is due on March 1, 2007, please amend the application as follows: